

Things You Must Know

New York

1. FOLLOW THE LAW. As a business owner, you have a duty to respect all laws applicable in the industry in which you conduct business. The retail energy market in New York contains several important laws and regulations. Please see the Home Energy Fair Practices Act "Facts Sheet" and read both this "Things You Must Know New York" page and the "New York In-Person Sales Requirement" document, which outlines the new Uniform Business Practices Act's marketing requirements in New York.

NEW YORK UNIFORM BUSINESS PRACTICES

ACT ("UBP"). Section 10 of the Uniform Business Practices Act (also known as the UBP) outlines our special requirements for marketing to New York Customers among other requirements pertaining to the retail energy market. You are required as an Ambit Independent Consultant to familiarize yourself with the requirements of Section 10 of the UBP as well as gain awareness of other provisions of the UBP. You can find the full text of the Act at <http://www3.dps.ny.gov/W/PSCWeb.nsf/All/8DD2B96E91D7447E85257687006F3922?OpenDocument> as well as in the accompanying document.

HOME ENERGY FAIR PRACTICES ACT ("HEFPA"). The Home Energy Fair Practices Act Facts Sheet outlines some mandatory rules ESCOs must follow when providing energy supply to residential Customers. Please read the sheet, which is available on Powerzone, the link is titled "New York - HEFPA Fact Sheet."

2. KNOWLEDGE IS POWER. It is your responsibility to ensure prospective customers are fully informed of Ambit Energy's Terms of Service as well as how to contact Ambit Energy's corporate toll-free number to obtain information about Ambit Energy's procedure for handling billing questions, disputes or complaints. Please read Ambit Energy's New York Terms of Service for important plan details. You are required to have a copy of the Terms of Service available at all times when marketing Ambit Energy's products.

3. PAYMENT OPTIONS. It is important to know the payment options available to the Customer. Payments should be sent directly to the appropriate distribution utility. ConEdison Customers can call 1-800-75-CONED or visit www.coned.com online to make a payment. National Grid Customers can call 1-800-642-4272 or visit www.nationalgridus.com. RGE Customers can call 1-800-743-2110 or visit www.rge.com. Central Hudson Customers can call

1-845-452-2700 or visit www.centralhudson.com.

Orange and Rockland Customers can call 1-877-434-4100 or visit www.oru.com. NFG Customers can call 1-800-444-3130 or visit www.natfuel.com. NYSEG Customers can call 1-800-572-1111 or visit www.nyseg.com.

4. INAPPROPRIATE USE OF NAME, LIKENESS, OR LOGO.

Con Edison, National Grid, and NYSEG provide delivery service when a customer switches to Ambit Energy energy supply service. Ambit Energy does not sell Con Edison, National Grid, NYSEG, RGE, Central Hudson, Orange and Rockland or NFG energy supply. You are strictly prohibited from using the Con Edison, National Grid or NYSEG name and logos. Ambit Energy is a separate, unaffiliated entity that is NOT a partner of any of these utilities. Never give the impression that Ambit Energy is in any way related as a partner or otherwise to Con Edison, National Grid or NYSEG. To do so gives a false impression. Neither you nor Ambit Energy is associated with Con Edison, National Grid, NYSEG, RGE, Central Hudson, Orange and Rockland or NFG.

5. DELIVERY (UTILITY) & SUPPLY (ESCO-AMBIT). As an Independent Consultant, it is important to understand the difference between an ESCO and a distribution utility. Ambit Energy, an energy supply company (abbreviated as ESCO), provides the customer's energy supply. The distribution utility delivers the Ambit Energy supply and is available to respond to leaks and other emergencies should they occur. If you have any questions about the relationship between an ESCO (example, Ambit Energy) and a distribution utility (example, Con Edison), please give us a call at (877) 282-6248 or email compliance@ambitenergy.com for more information.

6. USE ONLY MARKETING MATERIALS APPROVED BY

AMBIT ENERGY. To assist with marketing your Ambit Consultant business, Ambit Energy's team of marketing professionals has created marketing materials for your use. Ambit Energy requires all Consultants to use only marketing materials approved by Ambit Energy Corporate. You can find all current approved New York marketing materials online in Powerzone. If you do not see a particular marketing material in Powerzone, then it is not approved for use. You can send Ambit Energy any marketing materials you have created for approval to marketingteam@ambitenergy.com. Once approved, you are free to use such materials.

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7. DO NOT ENGAGE IN TELEMARKETING, MASS EMAILING, AND/OR MASS DIRECT MAILING. You are strictly prohibited from utilizing telemarketing, mass emailing (i.e., spamming), and mass direct mailing to solicit customers. This rule not only applies in New York, but in all other states.

8. DO NOT DECEIVE OR MISLEAD. Do not engage in misleading or deceptive conduct. If you have questions about a particular mode of gathering customers, please contact us any time at compliance@ambitenergy.com. Don't hesitate to ask!

9. DO NOT MAKE FALSE OR MISLEADING REPRESENTATIONS. When quoting a savings guarantee or rate to a prospective customer, please only provide the information disclosed on Ambit Energy's Terms of Service. The "Important Information Regarding Your Ambit Energy Plan" on the Ambit Energy New York Terms of Service discloses the price and other important details about the plan. You can also explain our offer by handing the customer a copy of any New York marketing flyer you find on Powerzone.

10. GOT QUESTIONS? WE ARE HERE TO HELP! PLEASE FEEL FREE TO CONTACT US:

By FAX (toll-free) 877-575-1354

By PHONE (toll-free) 877-302-6248

By EMAIL compliance@ambitenergy.com
marketingteam@ambitenergy.com